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Vacancy Defects in Carbon Nanotubes for Hydrogen Storage

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ABSTRACT

The hydrogen storage outside and inside carbon nanotube (CNT) has investigated at different positions using density functional theory (DFT) and applying 6-31g basis set. In addition, the effect of vacancy defects on hydrogen storage has been studied including mono-vacancy, di-vacancy and isolated monovacancy defects. The adsorption energy, HOMO (highest occupied molecular Orbital), LUMO (lowest unoccupied molecular orbital), energy gap, dipole moment and Mullikan Analysis are discussed. The results show that hydrogen molecule cannot be stored inside the CNT. However, the hydrogen molecule prefers to be stored outside the nanotubes. The most candidate CNT for hydrogen storge is found to be mono-vacancy defected CNT with hydrogen adsorption energy -3.8 eV.

Keywords: DFT, CNT, Hydrogen Storage, Vacancy defects, Adsorption Energy.

1. Introduction

Interest in hydrogen as a fuel has grown dramatically since 1990, and many advances in hydrogen production and utilization technologies have been made. Hydrogen provides more energy than either gasoline or natural gas on a weight basis. New approaches enabling more compact, lightweight, and energy efficient hydrogen storage are required for the wide-spread use of hydrogen powered vehicles to become a reality Elemental carbon in the sp2 hybridization can form a variety of amazing structures. Apart from the well-known graphite, carbon can build closed and open cages with honeycomb atomic arrangement. First such structure to be discovered was the C₆₀ molecule by Kroto *et al..*, (1985). Although various carbon structures. The nanotubes consisted of up to several tens of graphitic shells (so-called multi-walled carbon nanotubes (MWNTs)) with adjacent shell separation of 0.34 nm, diameters of 1 nm and large length/diameter ratio. Two years later, Iijima and Ichihashi, (1993) and Bethune *et al.*, (1993) synthesized single-walled carbon nanotubes (SWNTs). Nowadays, MWNTs and SWNTs are produced mainly by three techniques: arc-discharge, laser-ablation, and catalytic growth.

The nanotube is uniquely specified by the pair of integer numbers n, m or by its radius R =Ch/2 π and chiral angle θ which is the angle between Ch and the nearest zigzag of C–C bonds. All different tubes have angles θ between zero and 30°. Special tube types are the achiral tubes (tubes with mirror symmetry), armchair tubes (n, n) ($\theta = 30^\circ$) and zigzag tubes (n, 0) ($\theta = 0^\circ$). All other tubes are called chiral (m, n)($\theta = 120^\circ$) (Dresselhaus *et al.*, 1995).

Carbon nanotubes (CNTs) display superior mechanical properties and have many potential applications. One of them is the hydrogen storage because (i) carbon is a good adsorbent for gases;

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and (ii) CNTs are microporous carbon macromolecules with high specific surface and have the potential to adsorb hydrogen in their nanostructures (Darkrim *et al.*, 2002).

Carbon materials such as graphene, graphene oxide (GO), single-wall and multi-wall carbon nanotubes. (SWCNT, MWCNT and purified), carbon nanofibers (CNF) and activated carbon (AC) have been dominated by the public about high storage capacities in carbon nanostructures (Mohan *et al.*, 2019; Froudakis, 2011 and Panella *et al.*, 2005). Therefore, theoretical studies on hydrogen storage for pure and defected nanomaterials are investigated (El-Barbary and Al-Khateeb, 2021; Al-Khateeb, and El-Barbary 2020, El-Barbary 2019, EL-Barbary 2016, EL-Barbary 2016, EL-Barbary 2016; EL-Barbary 2016; Hindi and EL-Barbary 2015; El-Barbary *et al.*, 2015; El-Barbary *et al.*, 2015; El-Barbary *et al.*, 2015; El-Barbary *et al.*, 2014; El-Barbary *et al.*, 2013; El-Barbary *et al.*, 2003; El-Barbary 2015; El-Barbary 2015 and El-Barbary *et al.*, 2009).

Hydrogen storage in CNTs is considered as one of the essential challenges in developing a clean-burning hydrogen economy. Therefore, this topic is not only financially attractive but also it has important technological applications. The hydrogen storage capacities for CNTs have been reported by different laboratories (Yildirim et al., 2005; Zhang et al., 2004; Yang et al., 2006; Kiyobayashi et al., 2002; Tibbetts et al., 2001; Zheng et al., 2004; Anson et al., 2006; Gundiah et al., 2003; Zhao et al., 2005 and Blackman et al., 2006) differed by inconsistencies of test conditions as experimental conditions, methods of CNTs synthesis, pretreatment procedures, purification, and activation methods of CNTs. Most of the results testified that the storage capacity of CNTs for hydrogen is lower than 1 wt.% at ambient temperature (far from the practical applicability target of 6.5 wt.% at ambient temperature) but the capacity could be raised considerably to between 4 and 8 wt.% when decreasing the temperature of adsorption or modifying the CNTs or (Mohan et al., 2019; Froudakis, 2011; Anson et al., 2004; Shen et al., 2004; Yurum et al., 2009; Ioannatos and Verykios, 2010; Sharma and Kumar, 2016; Liu et al., 2010; Mosquera et al., 2014; Morel et al., 2015; Spyrou et al., 2013; Aboutabeli et al., 2012; Jeing et al., 2013; Dillon et al., 1997; Deck and Vecchio 2006; Esconjauregui et al., 2009; Liu et al., 2010; Steiner et al., 2009; Liang et al., 2011; Zhen Zhou et al., 2006; Hou et al., 2011; Xue et al., 2011; Shen et al., 2012; He and Gao 2010; Kumar and Ando 2010; Han and Lee, 2004).

The obtained values have not yet reached the required standard by DOE (department of energy). Therefore, there is still a great challenge of finding a material that can store enough hydrogen under the standard conditions by DOE. Hence, the main aim of this work is to investigate the enhancement of vacancy defects on the hydrogen storage in CNTs. So, we concern to study the many factors as concentration of vacancy, distribution the vacancy within the tube and adsorption of H_2 inside and outside the tube to reach more specific conclusion.

2. Methods

In present study, we have carried out full geometry optimization with density functional theory (DFT) and 6-31G basis set. Many previous studies have been used DFT (Telling *et al.*, 2003; Suarez-Martinez *et al.*, 2007; Ewels *et al.*, 2003; EL-Barbary 2017; Shalabi *et al.*, 1998; Shalabi *et al.*, 1998). All calculations were carried out on a cluster of $C_{100}H_{20}$ using Gaussian 03W program (Savini *et al.*, 2007). The adsorption energy of hydrogen molecules on pristine CNT (Eads) according to the following expression (Shalabi *et al.*, 2001, Shalabi, 2001).

$$E_{ads} = E_{CNT-H2} - E_{CNT} - E_{H2}$$

where E_{CNT-H2} is the energy of the optimized CNT-H₂ structure, E_{CNT} is the energy of an optimized CNT structure and E_{H2} is the energy of a hydrogen molecule. In addition, the electron density distribution, Mulliken analysis and molecular orbitals have been discussed.

3. Results and Discussion

3.1 Ab initio calculations of CNT

3.1.1 Ab initio calculations on pristine CNT:

The optimized structure of (5,5) CNT and its HOMO and LUMO are shown in Figure (1). Our calculated average bond length is 1.40 A° and average bond angle is 1200. HOMO represents the overlap between the adjacent carbon atoms, forming bond lengths are not perpendicular on the tube axis whereas LUMO which represents the overlap between the adjacent carbon atoms, forming bond lengths which are perpendicular on the tube axis. The values of HOMO, LUMO, energy band gap and dipole moment of pristine (5,5) CNT is presented in Table (1). Terminated carbon atoms are saturated by hydrogen atoms, resulting in opening band gap of (5,5) CNT to 5.6 eV. The dipole moment of perfect CNT is 0.0001 Debye. This value reflects that the reactivity (El-Barbary *et al.*, 2013 and El-Nahass et al., 2013) of the surface for pure CNT is too small.



Fig. 1: a) The optimized structure of pristine C100H20 (5,5) CNT, b) itsHOMO and c) its LUMO. The gray and white atoms refer to carbon and hydrogen atom, respectively.

Table 1: The HOMO, LUMO	, energy band	l gap and dipole mome	ent of optimized C	$C_{100}H_{20}(5,5)$ CNT.

Structure	HOMO eV	LUMO eV	Eg eV	Dipole moment Debye
C100H20 (Perfect CNT)	-5.70	-0.10	5.60	0.0001

3.1.2. Ab initio calculations of mono-vacancy defected CNT

The optimized structure of mono-vacancy defected $C_{99}H_{20}$ (5,5) CNT and its HOMO and LUMO are shown in Figure (2). The structure of mono-vacancy defect is created by removing one carbon atom. Results in three dangling carbon atoms are formed, two of them are rebonded by 1.56Å and the third dangling carbon atom is kicked out of the surface of CNT. The values of HOMO, LUMO, energy band gap and dipole moment of mono-vacancy defected (5,5) CNT are represented in Table (2). From Figure (2), LUMO presents the mono-vacancy defect. It is found that there is no change. However, the reactivity of the surface is increased to 2.12 Debye.



Fig. 2: a) The optimized structure of mono-vacancy defect of C99H20 (5,5) CNT and its, b) HOMO and c) LUMO. The gray and white atoms refer to carbon and hydrogen atoms, respectively. The blue atoms refer to the first neighbors carbon atoms to the defect.

Table 2: The HOMO, LUMO, energy band gap and dipole moment of optimized mono-vacancy defected C₉₉H₂₀(5,5) CNT.

Structure	HOMO eV	LUMO eV	Eg eV	Dipole moment Debye
C99H20 Mono-vacancy CNT	-5.57	0.03	5.60	2.1205

3.1.3. Ab initio calculations of di-vacancy defected CNT

The optimized structure of di-vacancy defected $C_{98}H_{20}$ (5,5) CNT and its HOMO and LUMO are shown in Figure (3). The di-vacancy defect is created by removing two adjacent carbon atoms. Results in four dangling carbon atoms are formed, each two carbon atoms are rebonded by 1.53Å (EL-Barbary 2016). The HOMO, LUMO, energy band gap and dipole moment of di-vacancy defected (5,5) CNT are represented in Table (3). From the energy band gaps of pristine CNT, mono-vacancy, and di-vacancy CNT, it is found that the di-vacancy defect increases the energy band gap by 0.5 eV. The reactivity of the surface is 0.1209 Debye, higher than the pure CNT and less than the monovacancy defected of (5,5) CNT.



Fig. 3: a) The optimized structure of di-vacancy defected $C_{98}H_{20}$ (5,5) CNT b) its HOMO and c) its LUMO. The gray and white atoms refer to carbon and hydrogen atoms, respectively. The blue atoms refer to the first neighbors carbon atoms to the defect.

Table 3: The HOMO, LUMO, energy band gap and dipole moment of optimized di-vacancy defect ofC₉₈H₂₀ (5,5) CNT

Structure	HOMO eV	LUMO eV	Eg eV	Dipole moment Debye
C98H20 di-vacancy CNT	-5.92	0.18	6.1	0.1209

3.1.4 Ab initio calculations of isolated mono-vacancies defectd CNT

The isolated mono-vacancy optimized structures of $C_{98}H_{20}$ (5,5) CNT and its HOMO and LUMO are shown in Figure (4). The isolated mono-vacancy defect is created by removing two separated carbon atoms, from different sites of CNT as shown in Figure (4). Results in three dangling carbon atoms are formed in each site, as mentioned in mono-vacancy defect. Therefore, two pentagons are formed, and two carbon atoms are kicked out of the CNT surface. The two pentagons are formed through bond length of 1.58 Å (13). The HOMO, LUMO, energy band gap and dipole moment of mono-vacancy (5,5) CNT are represented in Table (4). The reactivity of the surface is 2.172 Debye, like mono-vacancy defect. The values of energy band gaps, 5.6 eV, are like the pristine CNT and mono-vacancy CNT. This can be explained in terms of geometrical structures and distortion, i.e. the tube distortion of mono vacancy structure is quite negligible comparing with distortion of divacancy structure. Hence, only the HOMO and LUMO energies are changed while the band gap (the energy difference between HOMO and LUMO) does not change.



Fig. 4: a) The optimized structure of isolated mono-vacancy defected $C_{98}H_{20}$ (5,5) CNT , b) its HOMO and c) its LUMO. The gray and white atoms refer to carbon and hydrogen atoms, respectively. The blue atoms refer to the first neighbors carbon atoms to the defect.

Table 4: The HOMO, LUMO, energy band gap and dipole moment of optimized isolated monovacancy defect of C₉₈H₂₀ (5,5) CNT.

Structure	HOMO eV	LUMO eV	Eg eV	Dipole moment Debye
C ₉₈ H ₂₀ Isolated mono-vacancy CNT	-6.1	-0.5	5.6	2.1720

3.2 Ab initio calculations of hydrogened CNT

3.2.1 Adsorption of H2 molecules inside the pristine CNT

The different adsorption configurations of a single hydrogen molecule in $C_{100}H_{20}$ (5,5) CNT are shown in Figure (5) and are calculated in Table (5). Symbols A, B, C and D present the four different structures of H₂ inside the pristine CNT, perpendicular, with 45° angle and parallel to the tube axis, respectively. The adsorption energy is endothermic (the required energy to push H₂ inside the tube) and is in the range of ~30meV, in good agreement with previous theoretical work 34.3 meV (Zhen Zhou *et al.*, 2006). Also, it is noticed that the energy band gap of pristine (5,5) CNT is not affected by H₂ molecule.



Fig. 5: Four different configurations of a single hydrogen molecule inside C100H20 (5,5) CNT. The gray and blue atoms refer to carbon and hydrogen atoms, respectively.

Table 5: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures of H₂C₁₀₀H₂₀ (5,5) CNT

Structure	Eads meV	HOMO eV	LUMO eV	Eg eV
$H_2C_{100}H_{20}(5,5)$ (A)	38.19	-5.7	-0.1	5.6
H ₂ C ₁₀₀ H ₂₀ (5,5) (B)	34.55	-5.7	-0.1	5.6
H ₂ C ₁₀₀ H ₂₀ (5,5) (C)	30.60	-5.7	-0.1	5.6
H ₂ C ₁₀₀ H ₂₀ (5,5) (D)	32.68	-5.7	-0.1	5.6

3.2.2 Adsorption of H₂ molecules inside vacancy defected CNT

The adsorption configurations of H2 for mono-vacancy defected H2C99H20, di-vacancy defected H2C98H20 and isolated mono-vacancy defected H2C98H20 of (5,5) CNT are shown in Figure

(6). From Table (6), the values of adsorption energies are larger than the adsorption energy of pristine CNT (the average adsorption energy of pristine CNT is \cong 34 meV), indicating that the vacancy defects (mono-, di-, isolated mono- vacancies) do not enhance the hydrogen storage inside the (5,5) CNT. Also, the storage of H2 inside the (5,5) CNT does not change the energy band gaps of vacancy defects. To conclude, it is noticed that the energy band gap of pristine (5,5) CNT and vacancy defects is not affected by H2 molecule. This can explain because there is no any bonding between the H2 molecules and CNT when it is absorbed inside the tube, ending without any distortion within the tube and hence the effect of H2 molecules in the band gap is negligible. However, due to the concentration of vacancies in isolated mono-vacancies is twice the concentration in mono-vacancy, therefore, the adsorption energy for isolated mono-vacancies is higher than the mono-vacancy.



Fig. 6: Optimized structures of H₂ molecule inside a) mono-vacancy defected H₂C₉₉H₂₀ (5,5) CNT, b) di-vacancy defected H₂C₉₈H₂₀ (5,5) CNT and c) isolated mono-vacancy defected H₂C₉₈H₂₀ (5,5) CNT. The gray and blue atoms refer to carbon and hydrogen atoms, respectively. The red atoms refer to the first neighbors carbon atoms to the defect.

Table 6: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures of vacancy (5,5) defected CNT

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Structure	Eads meV	HOMO eV	LUMO eV	Eg eV
Mono-vacancy	51.74	-6.1	-0.5	5.6
Di-vacancy	62.83	-5.9	0.2	6.1
Isolated mono-vacancies	94.53	-6.1	-0.5	5.6

3.3 Ab initio calculations of hydrogen storage outside CNT

3.3.1 Adsorption of H₂ molecules outside the pristine CNT

Two different adsorption configurations of a single hydrogen molecule on the wall of $C_{100}H_{20}$ (5,5) CNT are shown in Figure (7). The adsorption energies and energy band gaps are calculated and given in Table (7). Symbols A and B are represented the two structures of H_2 adsorption outside the pristine CNT: when the center of bond length for H_2 is on the top of carbon atom and when each hydrogen atom is on the top of one carbon atom, respectively. The adsorption energy is endothermic and in the range of ~ 2eV, larger than the adsorption energy inside (5,5) CNT (30.60 meV). Also, it is noticed that the energy band gap is increased to 6.1 eV, comparing with the pristine (5,5) CNT of 5.6 eV.



Fig. 7: Two different configurations (A and B) of a single hydrogen molecule outside C₁₀₀H₂₀ (5,5) CNT. The gray and blue atoms refer to carbon and hydrogen atoms, respectively.

Table 7: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures ofH2C100H20 (5,5) CNT

Structure	E _{ads} eV	LUMO eV	HOMO eV	Eg meV	
A (B)	2.1	-5.9	0.2	6.1	

3.3.2 Adsorption of H₂ molecules outside mono-vacancy defected (5,5) CNT

There are two different adsorption configurations of a single hydrogen molecule outside the wall of mono-vacancy defect of $C_{99}H_{20}$ (5,5) CNT, see Figure (8). The adsorption energies and energy band gaps are calculated and given in Table (8). a and b are represented the two structures of H_2 outside the mono-vacancy defect of (5,5) CNT: when the H_2 is on the top of the dangling carbon atom, and when it is adsorbed far from the mono-vacancy defect, respectively. The adsorption energy is exothermic (the energy released from adsorption of H_2 outside CNT) for the structure (a) and endothermic for structure (b). This means that the mono-vacancy defect enhances the hydrogen storage when the H_2 adsorbed around the defect.



Fig. 8: a) and b) are two different configurations of a single hydrogen molecule outside monovacancy defected $H_2C_{99}H_{20}$ (5,5) CNT. The gray and white atoms refer to carbon and hydrogen atoms, respectively.

Table 8: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures of H₂C₉₉H₂₀ (5,5) CNT.

Structure	Eads eV	HOMO eV	LUMO eV	Eg eV
mono- vacancy (a)	-3.79	-5.7	-0.1	5.6
mono -vacancy (b)	0.14	-5.7	-0.1	5.6

3.3.3 Adsorption of H₂ molecules outside di-vacancy defected (5,5) CNT

There are three different adsorption configurations of a single hydrogen molecule outside the wall of di-vacancy defect of $C_{98}H_{20}$ (5,5) CNT, see Figure (9). The adsorption energies and energy band gaps are calculated and given in Table (9). Symbols a, b and c are represented the three structures of H₂ outside the di-vacancy defect of (5,5) CNT: when one atom of H₂ molecule is above one carbon atom of pentagon ring and the other hydrogen atom is above one carbon atom of the adjacent hexagon ring, when the center of bond length of H₂ molecule is above one carbon atom of pentagon ring, and when the H₂ molecule is adsorbed far away from the di-vacancy defect, respectively. The adsorption energy is exothermic for the structures (a and b) and endothermic for structure (c). This means that the di-vacancy defect enhances the hydrogen storage when the H₂ adsorbed above the pentagon ring.



Fig. 9: a), b) and c) are three different configurations of a single hydrogen molecule outside divacancy defected H₂C₉₈H₂₀ (5,5) CNT. The gray and white atoms refer to carbon and hydrogen atoms, respectively.

Table 9: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures ofH2C98H20 di-vacancy defeetd (5,5) CNT

Structure	Eads eV	HOMO eV	LUMO eV	Eg eV
Di- vacancy (a)	-1.227	-5.95	0.25	6.2
Di- vacancy (b)	-0.007	-5.92	0.08	6.0
Di- vacancy (c)	0.534	-5.59	0.01	5.6

3.3.4 Adsorption of H₂ molecules outside isolated mono-vacancy defected (5,5) CNT

There are three different adsorption configurations of a single hydrogen molecule outside the wall of isolated mono-vacancy defected $C_{98}H_{20}$ (5,5) CNT, see Figure (10). The adsorption energies and energy band gaps are calculated and given in Table (10). a, b and c are presented the three structures of H₂ outside the isolated mono-vacancy defected (5,5) CNT, when one atom of the H₂ molecule is above the dangling carbon atom of pentagon ring and the other hydrogen atom is above one carbon atom of the adjacent hexagon ring, when the center of bond length of H₂ molecule is on the top of the dangling carbon atom, and when the H₂ molecule is adsorbed far away from the isolated mono-vacancy defect; however, the more negative chemisorbed energy is for structure (b).

One can conclude that the adsorption energies for all types of vacancy defects (mono-vacancy, isolated mono-vacancies and di-vacancies) are enhanced by adsorptions H_2 molecule outside the wall rather than inside the wall of CNTs. The obtained results could be explained due to new bonds created between H2 molecules and vacancy defects when the adsorption is outside the wall, results in changing the geometric structures and the obtained energy gaps and adsorption energy.



Fig. 10: a), b) and c) are three different configurations of a single hydrogen molecule outside isolated mono-vacancy defected H₂C₉₈H₂₀ (5,5) CNT. The gray and white atoms refer to carbon and hydrogen atoms, respectively.

Table 10: The adsorption energies, HOMOs, LUMOs and energy band gaps of optimized structures ofH2C98H20 (Isolated mono-vacancy) (5,5) CNT

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Structure	Eads eV	HOMO eV	LUMO eV	Eg eV	
Isolated mono- vacancy (a)	-0.759	-5.29	-0.89	4.4	
Isolated mono- vacancy (b)	-3.814	-5.93	-0.23	5.7	
Isolated mono -vacancy (c)	-0.532	-6.32	-0.12	6.2	

3.4. Mulliken analysis and Molecular orbitals

We present the Mulliken analysis and Molecular orbitals for selected sites of H_2 adsorption that they possess the best adsorption energies. Figure (11(a, b)) shows the Mulliken analysis for H_2 adsorption outside the mono- vacancy and isolated mono-vacancy defected (5,5) CNT. The charge transfer is localized around the vacancy defect and there is no charge transfer far from the vacancy defect sites. Figure (12(a, b, c, d)) show the HOMO and LUMO for mono-vacancy and isolated mono-vacancy defected (5,5) CNT. There is charge overlap between the adjacent atoms around the vacancy defect, leading to the reduction of the adsorption energy of H_2 outside (5,5) CNT, agrees well with previous theoretical work (Zhen Zhou *et al.*, 2006).



Fig. 11: Mullikan analysis for H₂ adsorption outside the (a) mono- vacancy and (b) isolated monovacancy defected (5,5) CNT. The gray atoms refer to carbon atoms.



Fig. 12: Molecular orbitals of mono-vacancy (a) HOMO and (b) LUMO, and for isolated mono-vacancy (c) HOMO and (d) LUMO.

4. Conclusion

We have studied the energy band gaps for pristine and defected (5,5) CNTs at different concentrations and at different distributions inside and outside the CNTS. It is found that the adsorption of H₂ outside the pristine and defected (5,5) CNT is physisorption energy. However, most of the adsorption of H₂ outside the defected (5,5) CNT is chemisorption energy. The more negative chemisorption energy is -3.8 eV for the adsorbed H₂ molecule outside the mono-vacancy (or isolated mono-vacancy) defect of (5,5) CNT. Finally, one can conclude that the hydrogen storage in CNT is enhanced by the monovacancy defects more than divacancies when the H2 adsorbed outside the tube.

5. References

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